# BEFORE THE MEDICAL BOARD OF CALIFORNIA DIVISION OF MEDICAL QUALITY DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA

In the Matter of the Accusation Against:	) )	No.	03-95-53815
JAMES W. KNIGHT, M.D. Certificate No. C-18394	) ) )		
Respondent.	) ) )		

## <u>DECISION</u>

The attached Stipulation and Waiver for Surrender of Physician's and Surgeon's Certificate; Decision and Order in case number 03-95-53815 is hereby adopted by the Division of Medical Quality of the Medical Board of California as its decision in the above entitled matter.

This Decision shall become effective on March 6, 1997.

IT IS SO ORDERED <u>February 4, 1997</u>.

DIVISION OF MEDICAL QUALTY MEDICAL BOARD OF CALIFORNIA

Ву

IRA LUBELL, M.D.

Chairperson, Panel A

DANIEL E. LUNGREN, Attorney General of the State of California DAVID M. GUSTAFSON, State Bar No. 96787 2 Deputy Attorney General 2101 Webster Street, 12th Floor 3 Oakland, California 94612-3049 Telephone: (510) 286-4090 4 Attorneys for Complainant 5 BEFORE THE DIVISION OF MEDICAL QUALITY 6 MEDICAL BOARD OF CALIFORNIA 7 STATE OF CALIFORNIA 8 9 In the Matter of the Accusation No. 03-95-53815 10 Against: 11 STIPULATION AND WAIVER FOR SURRENDER OF 12 JAMES W. KNIGHT, M.D. PHYSICIAN'S AND SURGEON'S 535 East Romie Lane, Suite 10 Salinas, California 93901 CERTIFICATE; DECISION AND 13 ORDER THEREON Physician's and Surgeon's 14 Certificate No. C18394 1.5 16 IT IS HEREBY STIPULATED AND AGREED by and between James 17 W. Knight, M.D., with the counsel and advice of his attorney, 18 Eugene Epstein, Esq., and complainant Ronald Joseph, in his 19 official capacity as Executive Director of the Medical Board of 20 California, by and through his attorney, Daniel E. Lungren, 21 Attorney General, by David M. Gustafson, Deputy Attorney General, 22 as follows: 23 Complainant in the Accusation, Ronald Joseph, 24 Executive Director of the Medical Board of California, brought 25 and maintained the Accusation in Case No. 03-95-53815 26 (hereinafter, the "Accusation") solely in his official capacity. 27 A copy of the Accusation in this matter is attached to this

Stipulation and Waiver as Exhibit A, and the Accusation is incorporated by reference into the Stipulation and Waiver.

- 2. The Medical Board of California ("Board"), including its Division of Medical Quality ("Division"), has jurisdiction to enter into this Stipulation and Waiver pursuant to Article 12 of Chapter 5 of Division 2 of the Business and Professions Code commencing with section 2220 et seq.
- 3. Respondent was issued Physician's and Surgeon's Certificate No. C18394 by the Board on or about February 26, 1957, the current version of which expires on November 30, 1997.
- 4. Respondent has received and read the Accusation in Case No. 03-95-53815 which is presently on file and pending before the Board.
- 5. Respondent understands the nature of the charges and allegations set forth in the Accusation and understands and admits that those charges and allegations if proved would constitute cause for imposing discipline upon respondent's Physician's and Surgeon's Certificate.
- 6. Respondent is fully aware of his right to a hearing on the charges and allegations contained in the Accusation, his right to reconsideration, judicial review, appeal, and any and all other rights which may be accorded him pursuant to the California Administrative Procedure Act (Government Code section 11500 et seq.) and other laws of the State of California.
- 7. Respondent freely and voluntarily waives his rights to a hearing, reconsideration, judicial review, appeal and any and all other rights which may be accorded him by the California

Administrative Procedure Act and other laws of the State of California with regard to the charges and allegations in the Accusation in exchange for the Board's agreement to enter into this Stipulation and Waiver.

- 8. Respondent stipulates and agrees to surrender his Physician's and Surgeon's Certificate (No. C18394) to the Board and to thereby relinquish his right to practice medicine in the State of California.
- 9. Respondent stipulates and agrees to immediately surrender his U.S. Drug Enforcement Administration ("DEA") controlled substances permit to the DEA for cancellation, and stipulates and agrees that he shall not reapply for a new, modified or replacement DEA controlled substances permit.

  Respondent also stipulates and agrees to immediately surrender to the DEA any triplicate prescription forms and federal order forms for the ordering or purchase of controlled substances in respondent's possession or control.
- Certificate, respondent specifically waives the renewal provisions of Article 19 (Renewal of Licenses) of Chapter 5 (Medical Practices Act) of Division 2 (Healing Arts) of the Business and Professions Code, as set forth in Business and Professions Code section 2420 et seq., and stipulates and agrees that he will not apply to the Division to have his Physician's and Surgeon's Certificate renewed.
- 11. Respondent stipulates and agrees that he will not resume or seek to resume the practice of medicine in the State of

California during the ten (10) year period following the effective date of the Board's decision in this matter.

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- Respondent stipulates and agrees that, subsequent to the above ten (10) year period during which respondent is prohibited from practicing or seeking to practice medicine in the State of California, if respondent applies to the Board to resume the practice of medicine in the State of California, such application shall be deemed to be a petition for reinstatement of respondent's Physician's and Surgeon's Certificate and shall be governed by and treated according to the provisions of Business and Professions Code section 2307 or any successor statutory provision that may be in effect at the time of such an application. Respondent stipulates and agrees further that, subsequent to the above ten (10) year period during which respondent is prohibited from practicing or seeking to practice medicine in the State of California, in the event respondent should petition for reinstatement of his Physician's and Surgeon's Certificate or undertake any other administrative or court action to resume his practice of medicine in the State of California, all of the charges and allegations set forth in paragraphs 11 through 27, inclusive, of the Accusation shall be deemed to be admitted by respondent as being true and correct.
- of the Division's decision (as specified in the Division's Decision and Order attached to this Stipulation and Waiver), respondent shall provide the Division or its designee proof of service that respondent has served a true copy of this

Stipulation and Waiver (along with the Accusation and the Division's Decision and Order attached thereto) on the chief of staff or the chief executive officer of every hospital or medical facility where privileges or membership are or have been extended to respondent or where respondent is or was employed to practice medicine, and on the chief executive officer of every insurance carrier which is providing or has provided medical malpractice insurance coverage to respondent.

- 14. Respondent is subject <u>immediately</u> as of the effective date of the Board's decision to the terms and conditions specified in this Stipulation and Waiver.
- 15. In consideration for the above terms and conditions, the Division agrees to accept the surrender of respondent's Physician's and Surgeon's Certificate (No. C18394) as stipulated and agreed to above.
- and conditions of this Stipulation and Waiver are null and void and in no way binding upon the parties hereto unless and until this Stipulation and Waiver is adopted by the Division of Medical Quality, Medical Board of California, as its decision in this matter.

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1	DATED: 6 January 1997	•
2	( ) ( ) ( ) ( ) ( ) ( ) ( ) ( ) ( ) ( )	DANIEL E. LUNGREN, Attorney General of the State of Galifornia
3	- '	Marsal M. Denstabus
4		DAVID M. GUSTAFSON Deputy Attorney General
5		Attorneys for Complainant
6	.7 ( 0 (	The confidence of the confiden
7	DATED: 12-5-94	
8		EUGENE EPSTEIN, Esq.
9		Attorney for Respondent
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#### RESPONDENT'S CERTIFICATION

JAMES W. KNIGHT, M.D.
Physician's and Surgeon's
Certificate No. C18394

Respondent

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DANIEL E. LUNGREN, Attorney General
     of the State of California
   DAVID M. GUSTAFSON, State Bar No. 96787
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     Deputy Attorney General
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   Oakland, California 94612-3049
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   Attorneys for Complainant
                 BEFORE THE DIVISION OF MEDICAL QUALITY
6
                       MEDICAL BOARD OF CALIFORNIA
7
                           STATE OF CALIFORNIA
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9
                                          No. 03-95-53815
    In the Matter of the Accusation
10
      Against:
11
                                           ACCUSATION
12
    JAMES W. KNIGHT, M.D.
    535 East Romie Lane, Suite 10
    Salinas, California 93901
13
    Physician's and Surgeon's
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    Certificate No. C18394
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              Complainant Ronald Joseph alleges as follows:
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    COMPLAINANT'S IDENTITY
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                  Complainant is the Executive Director of the
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    Medical Board of California ("Board") and makes and files this
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    Accusation in his official capacity.
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    RESPONDENT'S CERTIFICATE STATUS
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                  On February 26, 1957, the Board issued Physician's
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    and Surgeon's Certificate No. C18394 to respondent James W.
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    Knight, M.D., the current version of which expires November 30,
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    1997. Dr. Knight failed to comply with continuing medical
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education requirements when he renewed his physician's and

surgeon's certificate in 1995. Although Dr. Knight requested that the Board grant him a temporary CME waiver, the Board denied this request and is requiring him to make up the deficient CME hours during the 1995-1997 period. Dr. Knight must comply with the CME requirement by the next renewal date of November 30, 1997 or he will be ineligible for renewal until such time as the requirement is met.

#### STATUTES.

3. Business and Professions Code section 725 provides that:

"Repeated acts of clearly excessive prescribing or administering of drugs or treatment, repeated acts of clearly excessive use of diagnostic procedures, or repeated acts of clearly excessive use of diagnostic or treatment facilities as determined by the standard of the community of licensees is unprofessional conduct for a physician and surgeon, dentist, podiatrist, psychologist, physical therapist, chiropractor, or optometrist.

Any person who engages in repeated acts of clearly excessive prescribing or administering of drugs or treatment is guilty of a misdemeanor and shall be punished by a fine of not less than one hundred dollars (\$100) nor more than six hundred dollars (\$600), or by imprisonment for a term of not less than 60 days nor more than 180 days, or by both the fine and imprisonment."

4. Business and Professions Code section 820 provides that:

"Whenever it appears that any person holding a license, certificate or permit under this division or under any initiative act referred to in this division may be unable to practice his or her profession safely because the licentiate's ability to practice is impaired due to mental illness, or physical illness affecting competency, the licensing agency may order the licentiate to be examined by one or more physicians and surgeons or psychologists designated by the agency. The report of the examiners shall be made available to the licentiate and may be received as direct evidence in proceedings conducted pursuant to Section 822."

"If a licensing agency determines that its licentiate's 3 ability to practice his or her profession safely is impaired because the licentiate is mentally ill, or physically ill 4 affecting competency, the licensing agency may take action by any one of the following methods: 5 (a) Revoking the licentiate's certificate or license. 6 (b) Suspending the licentiate's right to practice. 7 (c) Placing the licentiate on probation. 8 (d) Taking such other action in relation to the 9 licentiate as the licensing agency in its discretion deems 10 proper. The licensing agency shall not reinstate a revoked or 11 suspended certificate or license until it has received competent evidence of the absence or control of the 12 condition which caused its action and until it is satisfied that with due regard for the public health and safety the 13 person's right to practice his or her profession may be safely reinstated." 14 6. Business and Professions Code section 823 provides 15 that: 16 "Notwithstanding any other provisions of law, 17 reinstatement of a licentiate against whom action has been taken pursuant to Section 822 shall be governed by the 18 procedures in this article. In reinstating a certificate or license which has been revoked or suspended under Section 19 822, the licensing agency may impose terms and conditions to be complied with by the licentiate after the certificate or 20 license has been reinstated. The authority of the licensing agency to impose terms and conditions includes, but is not 21 limited to, the following: 22 (a) Requiring the licentiate to obtain additional professional training and to pass an examination upon the 23 completion of the training. 24 (b) Requiring the licentiate to pass an oral, written, practical, or clinical examination, or any combination 25 thereof to determine his or her present fitness to engage in the practice of his or her profession. 26 (c) Requiring the licentiate to submit to a complete 27 diagnostic examination by one or more physicians and

5. Business and Professions Code section 822 provides

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surgeons or psychologists appointed by the licensing agency. 1 If the licensing agency requires the licentiate to submit to such an examination, the licensing agency shall receive and 2 consider any other report of a complete diagnostic examination given by one or more physicians and surgeons or 3 psychologists of the licentiate's choice. 4 (d) Requiring the licentiate to undergo continuing treatment. 5 (e) Restricting or limiting the extent, scope or type 6 of practice of the licentiate." 7 Business and Professions Code section 824 provides 8 that: 9 "The licensing agency may proceed against a licentiate under either Section 820, or 822, or under both sections." 10 Business and Professions Code section 2220 provides 11 1.2 that: "Except as otherwise provided by law, the Division 13 of Medical Quality may take action against all persons quilty of violating this chapter. The division shall 14 enforce and administer this article as to physician and surgeon certificate holders, and the division shall 15 have all the powers granted in this chapter for these purposes including, but not limited to: 16 Investigating complaints from the public, 17 from other licensees, from health care facilities, or from a division of the board that a physician and 18 surgeon may be guilty of unprofessional conduct. 19 Investigating the circumstances of practice of any physician and surgeon where there have been any 20 judgments, settlements, or arbitration awards requiring the physician and surgeon or his or her professional 21 liability insurer to pay an amount in damages in excess of a cumulative total of thirty thousand dollars 22 (\$30,000) with respect to any claim that injury or damage was proximately caused by the physician's and 23 surgeon's error, negligence, or omission. 24 Investigating the nature and causes of injuries from cases which shall be reported of a high 25 number of judgments, settlements, or arbitration awards 26 against a physician and surgeon." Business and Professions Code section 2227(a) 27

#### provides that: 1 "A licensee whose matter has been heard by an 2 administrative law judge of the Medical Quality Hearing 3 Panel as designated in Section 11371 of the Government Code, or whose default has been entered, and who is found quilty may, in accordance with the provisions of 4 this chapter: 5 Have his or her license revoked upon order of 6 the division. Have his or her right to practice suspended for a period not to exceed one year upon order of the 8 division. Be placed on probation upon order of the 9 (3) division. 10 (4)Be publicly reprimanded by the division. 11 Have any other action taken in relation to 12 discipline as the division or an administrative law judge may deem proper." 13 Business and Professions Code section 2234 14 provides that: 15 "The Division of Medical Quality shall take action against any licensee who is charged with unprofessional 16 conduct. In addition to other provisions of this article, unprofessional conduct includes, but is not 17 limited to, the following: 18 Violating or attempting to violate, directly 19 or indirectly, or assisting in or abetting the violation of, or conspiring to violate, any provision 20 of this chapter. (b) Gross negligence. 21 Repeated negligent acts. (C) 22 (d) Incompetence. 23 The commission of any act involving dishonesty or corruption which is substantially related 24 to the qualifications, functions, or duties of a 25 physician and surgeon. 26 Any action or conduct which would have

warranted the denial of a certificate."

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#### PATIENT A

Patient A1 came under respondent's care in April 3 1992 for treatment of gall bladder disease and back pain. During 4 the period from April 1992 through December 1995 respondent 5 prescribed Vicodin, Valium and Soma to patient A in excessive amounts (initially prescribing approximately 100 Vicodin and 7 Valium pills for patient A every three weeks and eventually 8 escalating the amount to prescription of approximately 100 Vicodin, Valium and Soma pills every three weeks). 10

In addition to respondent's excessive prescription of Vicodin, Valium and Soma to patient A, respondent failed to utilize any appropriate alternative modalities for management of patient A's pain, such as the prescription of non-steroidal antiinflammatory medications or the prescription of low doses of anti-depressants.

## FIRST CAUSE FOR DISCIPLINARY ACTION

Respondent's excessive prescription of Vicodin, Valium and Soma to patient A, as described in paragraphs 11 through 12 above, constitutes excessive prescribing of drugs pursuant to Business and Professions Code section 725 and therefore constitutes unprofessional conduct and cause for disciplinary action pursuant to Business and Professions Code section 2234.

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All individuals and institutions referred to in this Accusation are denominated by initials only in order to protect their privacy interests. The identities of such individuals and institutions will be provided to respondent in response to an appropriate request for discovery.

#### SECOND CAUSE FOR DISCIPLINARY ACTION

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14. Respondent's excessive prescription of Vicodin,
Valium and Soma to patient A, as described in paragraphs 11
through 12 above, constitutes a violation of the Medical Practice
Act and therefore constitutes unprofessional conduct and cause
for disciplinary action pursuant to Business and Professions Code
section 2234(a).

#### THIRD CAUSE FOR DISCIPLINARY ACTION

15. Respondent's excessive prescription of Vicodin,
Valium and Soma to patient A, as described in paragraphs 11
through 12 above, constitutes incompetence and therefore
constitutes unprofessional conduct and cause for disciplinary
action pursuant to Business and Professions Code section 2234(d).

#### PATIENT B

- December 1994 through January 1996, seeing respondent at two to four week intervals for the treatment of migraine headaches, depression and back pain. During this time, respondent prescribed Vicodin, Valium and Soma to patient B in excessive amounts (prescribing approximately 300 Vicodin pills, 200 Valium pills and 200 Soma pills per month to patient B).
- 17. In addition to respondent's excessive prescription of Vicodin, Valium and Soma to patient B, respondent failed to utilize any appropriate modalities for management of patient B's pain (other than insufficient doses of anti-depressants).

#### FOURTH CAUSE FOR DISCIPLINARY ACTION

18. Respondent's excessive prescription of Vicodin,

Valium and Soma to patient B, as described in paragraphs 16 through 17 above, constitutes excessive prescribing of drugs pursuant to Business and Professions Code section 725 and therefore constitutes unprofessional conduct and cause for disciplinary action pursuant to Business and Professions Code section 2234.

## FIFTH CAUSE FOR DISCIPLINARY ACTION

19. Respondent's excessive prescription of Vicodin,
Valium and Soma to patient B, as described in paragraphs 16
through 17 above, constitutes a violation of the Medical Practice
Act and therefore constitutes unprofessional conduct and cause
for disciplinary action pursuant to Business and Professions Code
section 2234(a).

# SIXTH CAUSE FOR DISCIPLINARY ACTION

20. Respondent's excessive prescription of Vicodin,
Valium and Soma to patient B, as described in paragraphs 16
through 17 above, constitutes incompetence and therefore
constitutes unprofessional conduct and cause for disciplinary
action pursuant to Business and Professions Code section 2234(d).

## PHYSICAL AND MENTAL DEFICITS

21. On June 24, 1996, the Board received a report regarding Dr. Knight from Hospital X pursuant to Business and Professions Code section 805, which requires health care facilities to report to the Board whenever a medical practitioner has been denied membership or staff privileges for medical disciplinary reasons, has had membership, staff privileges or employment terminated or revoked for medical disciplinary

reasons, or has had restrictions imposed on membership, staff privileges or employment for a period exceeding 30 days.

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- 22. The section 805 report filed on respondent indicates that respondent's staff privileges at Hospital X had been restricted following the death of one of respondent's patients during a medical procedure. Under Hospital X's restrictions on respondent's privileges, respondent was prohibited from admitting patients, writing medical orders or performing medical procedures at the hospital. According to the 805 report the restrictions were imposed upon respondent because his "(a)pparent deterioration in health (which) caused concern for clinical performance in general."
- 23. Upon initial investigation of this matter by the Board's field office, the following facts emerged:
  - A. Respondent is 68 years old and in deteriorating health.
- B. Prior to the reporting incident which initiated the filing of the section 805 report, respondent had had previous restrictions placed on his medical practice of the hospital by hospital authorities. Under these restrictions, respondent was prohibited from admitting patients without obtaining consultation and approval from another physician and was prohibited from performing medical procedures without direct supervision.
- C. Respondent failed to abide by these restrictions and, according to the Hospital's Department of Medicine's Executive Committee April 25, 1996 meeting, "has had to be continually monitored for compliance". The Executive Committee also noted in the context of reviewing respondent's patient care that

respondent's writing of an incoherent discharge diagnosis indicated that respondent "has a writing deficiency" and that respondent's "concentration level is questionable". Because of its concerns regarding respondent's patient care and his failing health, the Executive Committee referred respondent's case to Hospital X's Physician's Well-Being Committee and Quality Assurance Subcommittee.

- D. On May 1, 1996, Hospital X's Department of Medicine's Executive Committee met again for the purpose of reviewing respondent's medical practice at Hospital X and, based upon the Committee's view that respondent suffered "increasing performance problems", requested that respondent reduce his staff status at the Hospital from "active" to "associate", a change which would prohibit respondent from admitting any patients, writing any medical orders, or performing any medical procedures at Hospital X.
- E. Respondent accepted these restrictions on his medical practice at Hospital X, admitting that he did not want to place patients at risk. However, respondent indicated to Hospital X authorities that respondent's hospital practice was a relatively small part of respondent's medical practice and that respondent would continue practicing outside Hospital X due to financial pressures and considerations.
- 24. On August 29, 1996, the Board's investigator requested in writing that respondent voluntarily undergo physical and psychiatric examinations. On September 5, 1996 respondent agreed in writing to undergo the examinations. Respondent

thereafter underwent the psychiatric examination on October 1, 1996 and the physical examination on October 11, 1996.

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The results of respondent's psychiatric examination indicated that respondent suffers from various cognitive, concentration and memory deficits and that respondent exhibits an element of denial of these deficits. Referring to a letter written by respondent's treating physician, the psychiatric evaluator also cited the fact that respondent suffers from severe and progressive polyneuropathy which causes significant tremors, shooting pains and problems with strength and balance, and which precludes respondent from practicing surgery or effectively caring for his patients, even in a sedentary office work environment. (Respondent's treating physician also indicated that respondent had been urged to retire from the practice of medicine but had refused to do so.) On the basis of the above information, the psychiatric evaluator concluded by indicating that "it is counter-productive for [respondent] to be practicing".

26. The results of respondent's physical examination confirmed respondent's polyneuropathy and indicated that respondent suffered from a variety of other physical ailments, including abdominal problems, liver disease, coronary artery disease, and moderately severe memory deficits. In summarizing his evaluation, the Board's medical evaluator made the following comments:

"1. Dr. Knight's disabilities are considerable. His gastrointestinal and neurological disorders are daunting, and preclude him from effectively engaging in the practice of medicine. Furthermore, he suffers from

moderate diminution of cognitive skills due to impaired memory which also prevents him from rendering adequate patient care. I do not anticipate that his disabilities will improve in the future, and consider him totally and permanently disabled to care for patients. . . .

- 2. Continued monitoring and evaluation of his GI problems by his gastroenterologist. Colonoscopy and small bowel follow through x-ray would seem appropriate, if not performed recently. Follow-up of the hepatomegaly is important, including liver-spleen nuclear scan, follow-up ultrasound of the hepatic parenchyma, and even liver biopsy, if necessary. Rectal biopsy to check for amyloidosis might be helpful; amyloid deposition could explain hepatomegaly, progressive neuropathy, and altered gut function.
- 3. Supportive psychotherapy to assist in this difficult transition from what was an extremely busy and productive life as a surgeon and physician to a forced retirement due to disability.
- 4. Continued follow-up by his neurologist for the severe neuropathy. . . . "

## Seventh Cause for Disciplinary Action

27. The charges and allegations set forth in paragraphs 21 through 26, inclusive, indicate that respondent's ability to practice medicine safely is impaired due to physical and mental illness affecting competency and therefore cause for disciplinary action against respondent's physician's and surgeon's certificate exists pursuant to Business and Professions Code section 822.

#### PRAYER

WHEREFORE, complainant requests that the Medical Board of California hold a hearing on the matters, charges and allegations alleged herein and thereafter issue an order:

1. Revoking or suspending Physician's and Surgeon's Certificate No. C18394 held by respondent James W. Knight, M.D.;

Granting the Medical Board of California its costs of investigation and prosecution of this case pursuant to Business and Professions Code section 125.3, and; 3. Prohibiting respondent from supervising a physician assistant, and; Taking such other action as appropriate to protect the public health, safety and welfare. DATED: November 14, 1996 Executive Director Medical Board of California State of California COMPLAINANT